

PRNT

THE 3D PRINTING ETF

As of September 30, 2018



WHY INVEST IN PRNT?

- 1. Targeted Exposure to Innovation:** ARK believes 3D printing is misunderstood today, leading to historically poor market performance and stock declines for companies in this industry. However, ARK expects 3D printing to revolutionize manufacturing by collapsing the time between design and production, reducing costs, and providing greater design complexity, accuracy, and customization.
- 2. Growth Potential:** The Fund seeks to provide investment results that closely correspond to the performance of the Total 3D-Printing Index (3DPRNT), which is designed to track companies involved in the 3D printing industry. ARK believes 3D printing is one of the highest growth potential industries in the economy and is set to transform the manufacturing landscape.

PRNT IS THE FIRST, AND ONLY, ETF IN THE U.S. TO FOCUS SOLELY ON THE MOST INNOVATIVE COMPANIES WITHIN THE 3D PRINTING ECOSYSTEM. ARK BELIEVES THE TECHNOLOGY IS STILL IN ITS INFANCY WITH ATTRACTIVE GROWTH POTENTIAL.

– Source: ARK Investment Management LLC, 2018

INDEX DESCRIPTION

SECTOR WEIGHTS

Information Technology	58.8%
Industrials	29.5%
Health Care	10.1%
Materials	1.3%
Funds	0.2%
Consumer Staples	0.1%

MARKET CAPITALIZATION

Mega	6.6%
Large	29.8%
Medium	17.6%
Small	22.4%
Micro	16.8%

INDUSTRY WEIGHTS

Technology Hardware, Storage & Peripherals	23.0%
Software	22.8%
Machinery	19.7%
Electronic Equipment, Instruments & Components	11.6%
Health Care Equipment & Supplies	5.2%
Biotechnology	5.0%
Aerospace & Defense	2.9%
Industrial Conglomerates	2.4%
Professional Services	2.2%
Electrical Equipment	1.4%
Air Freight & Logistics	1.3%
Chemicals	1.0%
Semiconductors & Semiconductor Equipment	0.9%
Metals & Mining	0.3%
Household Products	0.1%

FUND DETAILS

Ticker	PRNT
Type	Indexed Equity ETF
CUSIP	00214Q 500
Primary Exchange	Cboe Global Markets
Inception Date	07/19/2016
Expense Ratio	0.66%
Assets Under Management	\$51 Million
Advisor	ARK Investment Management LLC
Sales Services	Resolute Investment Distributors, Inc.
Fund Distributor	Foreside Fund Services, LLC

INDEX DETAILS

Index Name	Total 3D-Printing Index (3DPRNT)
Type	Solactive AG
Weighting Method	Multi-Factor Weighting (Equal within each Factor)
Rebalance	Quarterly
Number of Holdings	48
Weighted Avg. Market Cap.	\$50 Billion
Median Market Cap.	\$11 Billion

INDEX FACTOR WEIGHTS AT REBALANCE

3D Printing Hardware	50.0%
CAD & 3D Printing Simulation Software	30.0%
3D Printing Centers	13.0%
Scanning & Measurement	5.0%
3D Printing Materials	2.0%

FUND COMPOSITION AND OBJECTIVE

The 3D Printing ETF (PRNT) seeks to provide investment results that closely correspond, before fees and expenses, to the performance of the Total 3D-Printing Index (3DPRNT), which is designed to track the price movements of stocks of companies involved in the 3D printing industry.

The companies in the Total 3D-Printing Index are engaged in 3D printing related businesses within the following business lines: 3D printing hardware, computer aided design (“CAD”) and 3D printing simulation software, 3D printing centers, scanning and measurement, and 3D printing materials.

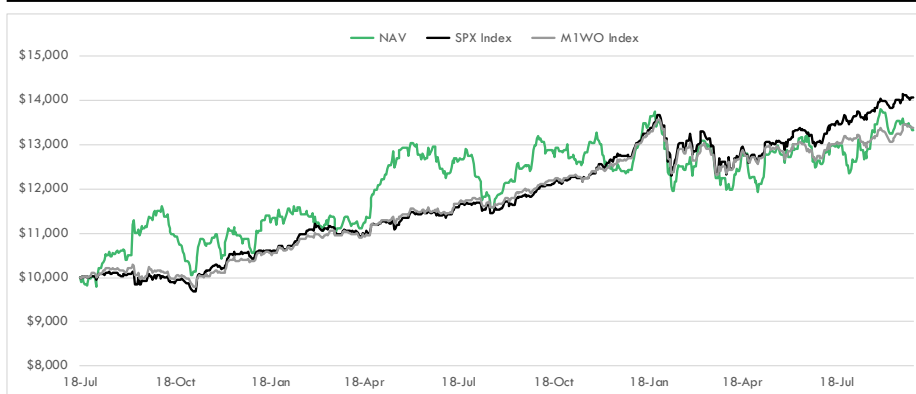
TOP 10 HOLDINGS

SECURITY NAME	WEIGHT
1 3D SYSTEMS CORP	6.8%
2 EXONE CO/THE	6.7%
3 HP INC	6.1%
4 STRATASYS LTD	5.9%
5 PRODWAYS GROUP SA	5.1%
6 ORGANOVO HOLDINGS INC	4.9%
7 RENISHAW PLC	4.6%
8 TRIMBLE INC	4.5%
9 PROTO LABS INC	4.4%
10 AUTODESK INC	4.2%
	53.2%

Holdings are subject to change.

For current portfolio holdings please download "Fund Holdings" as a CSV or PDF at ark-funds.com. Portfolio holdings should not be considered as investment advice or a recommendation to buy, sell or hold any particular security.

GROWTH OF 10,000 USD SINCE INCEPTION



FUND PERFORMANCE

For periods ended September 30, 2018

TOTAL RETURNS	3 Months	YTD	1 Year	3 Years (annualized)	Since Inception (annualized)
PRNT NAV	5.43%	7.31%	2.63%	N/A	13.91%
PRNT Market Price	5.44%	6.69%	2.00%	N/A	13.75%
3DPRNT Index	5.55%	7.94%	2.44%	N/A	15.15%
S&P 500 Index (SPX)	7.71%	10.56%	17.91%	—	16.75%
MSCI World Index (M1WO)	4.98%	5.43%	11.24%	—	14.12%

For the Fund's most recent month end performance, please visit www.ark-funds.com or call 1-800-679-7759

Past performance does not guarantee future results. The performance data quoted represents past performance and current returns may be lower or higher. The investment return and principal will fluctuate so that an investor's shares when redeemed may be worth more or less than the original cost. Returns for less than one year are not annualized. As stated in the ETF's current prospectus, the expense ratio for the Index ETF PRNT is 0.66%. Additional information about fees and expense levels can be found in the ARK ETFs' prospectuses. Net asset value ("NAV") returns are based on the dollar value of a single share of an ARK ETF, calculated using the value of the underlying assets of the ARK ETF minus its liabilities, divided by the number of shares outstanding. The NAV is typically calculated at 4:00 pm Eastern time. Market returns are based on the trade price at which shares are bought and sold on the exchange using the last share trade. Market performance does not represent the returns you would receive if you traded shares at other times. The market price of ARK ETF shares may differ significantly from their NAV during periods of market volatility.

The line graph represents the cumulative performance of a hypothetical \$10,000 investment in PRNT. The returns are net of the ETF's expenses but do not reflect the payment of any brokerage commissions or brokerage costs incurred as a result of buying or selling PRNT shares or trading PRNT shares on an exchange and do not reflect the deduction of taxes to which an investor would be subject as a result of owning or selling shares of PRNT. If they did, the returns would be lower than those shown.

Before investing you should carefully consider the Fund's investment objectives, risks, charges and expenses. This and other information is in the prospectus, a copy of which may be obtained by visiting www.ark-funds.com. Please read the prospectus carefully before you invest.

The principal risks of investing in ARK's Index ETFs include equity, market, concentration and non-diversification risks, as well as fluctuations in market value and net asset value ("NAV"). The principal risks of investing in PRNT: **Equity Securities Risk.** The value of the equity securities the Fund holds may fall due to general market and economic conditions. **Foreign Securities Risk.** Investments in the securities of foreign issuers involve risks beyond those associated with investments in U.S. securities. **Index Tracking Risk.** The returns of the ETF may not match the returns of the underlying index that the ETF is designed to track. **Industrials Sector Risk.** Companies in the industrials sector may be adversely affected by changes in government regulation, world events, economic conditions, environmental damages, product liability claims and exchange rates. **Information Technology Sector Risk.** Information technology companies face intense competition, both domestically and internationally, which may have an adverse effect on profit margins. Detailed information regarding the specific risks of PRNT ETF can be found in the prospectus.

Index Descriptions: The Total 3D-Printing Index is composed of equity securities and depository receipts of exchange listed companies from the U.S., non-U.S. developed markets and Taiwan that are engaged in 3D printing related businesses within the following business lines: (i) 3D printing hardware, (ii) computer aided design and 3D printing simulation software, (iii) 3D printing centers, (iv) scanning and measurement, and (v) 3D printing materials. The S&P 500® Index is a widely recognized capitalization-weighted index that measures the performance of the large-capitalization sector of the U.S. stock market. The MSCI World Index represents large and mid-cap equity performance across 23 developed markets countries. Returns shown for the MSCI World Index are net of foreign withholding taxes applicable to U.S. investors. Securities in the ETF's portfolio will not match those in any index. You cannot invest directly in an index. Although reinvestment of dividend and interest payments is assumed, no expenses are netted against an index's returns. Index performance information is furnished by sources deemed reliable and is believed to be accurate, however, no warranty or representation is made as to the accuracy thereof and the information is subject to correction.

Certain information was obtained from sources that ARK believes to be reliable; however, ARK does not guarantee the accuracy or completeness of any information obtained from any third party.

Foreside Fund Services LLC, distributor.

NOT FDIC INSURED — NO BANK GUARANTEE — MAY LOSE VALUE